



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENT AND TRADEMARK OFFICE
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20590
www.uspto.gov

April 17, 2002

Robert Traver
1560 Broadway, Suite 1200
Denver, Colorado 80202-5141

Dear Attorney Traver

We regret to inform you that your refund request for \$427.00 on application number 09/872990, cannot be granted for the reason specified below.

- ☒ Refund based upon subsequent establishment of small entity status: A refund based on establishment of small entity status may be granted only if a written assertion of entitlement to small entity status under 37 CFR 1.27 and a request for refund of the excess amount are filed within three months of payment and of the fee (37 CFR 1.28). Three-month period for establishing small entity status and requesting a refund has expired.
- ☐ Refund of application filing or petition fee: Filing fees paid for an application that is entitled to a filing date and required petition fees are not fees paid by mistake or in excess. If an application is **not** entitled to a filing date and proceedings are terminated on the application, any filing fees (less the \$130 handling fee) will be refunded (37 CFR 1.53(e)(3)).
- ☐ Refund of overpayment: There was no overpayment made by applicant. All fees were calculated and assessed properly. ****See below****
- ☐ The payment for which the refund is requested has not been applied to the application. The payment check was returned to applicant for the following reason:
 - ☐ Not filled out properly. (See attached copy of notice.)
 - ☐ Not made payable in U.S. funds.
 - ☐ No explanation was given as to purpose.
 - ☐ Payment was previously received and applied by Office.
 - ☐ Check was returned by bank for insufficient funds.

****Any request for reconsideration or review of this decision must be by way of a petition filed within two months of this decision, which decision must set forth with particularity why a refund is due (see 37 CFR 1.181(b) and (f)).**

The refund is denied because of the multiple claims. There are 212, dependent claims, minus the 20 allowed, that leaves $192 \times \$18.00 = \$3456.00..$

If there are any further questions, please contact Customer Service at (703) 308-1202.

Sincerely,

Eleanor F. Kurtz
Office of Initial Patent Examination